



香港財經分析師學會
THE HONG KONG SOCIETY OF FINANCIAL ANALYSTS

Asset Owner Series 2019

Investment Perspectives of Family Offices in US vs Asia

26 Sep | Thurs | 6:30 pm – 8:30pm



Great opportunity to meet large family offices from US and HK/Asia and exchange ideas on investments and governance issues.

Hosted in Partnership with:



Asset Owner
Series



CFA Society
New York

This is the cross-society collaboration between the **Hong Kong Society of Financial Analysts (HK SFA)** and **CFA New York (CFANY)**, two of the **largest local societies of CFA Institute in the world** to team up and bring burgeoning **Asset Owner Series** to Hong Kong.

Crucial opportunities for key decision-makers whom oversee large institutional pools of capital, to gather, identify and share global challenges as well as solutions. **This seminar will explore:**

1. **Asset Allocation of Family Offices (FO): How US and Greater China FO differ?**
2. **How FO (US and Greater China) invest in Greater Bay Area and A shares?**
3. **Key concerns for FO - US and Greater China perspectives**
4. **Institutionalization / Professionalization of Structuring of Family Offices**

Speakers:

○ **Mr. KO Chia, Director, Grace Financial**

Mr. K O Chia is Director of Grace Financial Ltd which is a Multi Family Office capable of providing assistance to high net-worth families in all aspects of life, from defining purpose, to executing sophisticated plans encompassing investments management and advice on a discretionary basis, estate-planning and family management - sourcing external specialist advice where necessary.

Mr. Chia focuses on direct and private equity investment program as well as managing the office. He brings 30-plus years of diverse experience as U.S.-Asia venture capitalist, an entrepreneurial journey with a successful telecom technology start-up. He started his career with Hewlett-Packard (Agilent) and later at Apple Asia in Europe, Asia and U.S.

His current passion is in impact investments where he attempts to bring together venture capital investment discipline with an entrepreneurial approach to projects for double bottom line and sustainability. Over the years, he had served on numerous diverse public and private Boards where his unique blend of experience is valued.

○ **Ms. Cathy Dong, Director, Cayn Family Capital**

Ms. Cathy Dong serves as a Director of a multicultural family office readjusted to 3 families from North America and Middle East dating back to late 18 th century with their 3 rd and 4 th generation settling down in NYC and Asia. Previously this family office captured a wide range of industries but now concern about private equity across the U.S and Pan-Asia region through early-stage direct PE, co-investments and innovative/unique funds. They represent a generous amount of investable assets under management with main business in properties and freight transportation for decades, paving a way for their interests in disruptive technology and digitalization that modernize underdeveloped industries such as wise logistics, supply chain management, cleantech/ agritech, consumption gene detection, etc.

Cathy is particularly focusing on ESG integrating investments at emerging market through devoting to deal sourcing, corporate governance and tools of measurement, especially on scientific methods to ESG tracing. Cathy is building up a community for Asian young women and millennials in order to promote gender equality and young leadership and thereby fostering mental well-being and social civilization.

- **Mr. John McCareins, CAIA, CIMA, Managing Executive & Head of Asia Pacific, Northern Trust Asset Mgmt**
Mr. John McCareins, CAIA, CIMA, leads Northern Trust Asset Management's Asia Pacific business. Based in Hong Kong, he is responsible for strategy, distribution, investment solutions and management of teams spanning Japan, Hong Kong, Singapore and Australia, as well as global equity portfolio management and dealing from Hong Kong. John serves on a number of Northern Trust leadership groups and boards such as the Global Asset Management Executive Committee, The Northern Trust Company of Hong Kong Limited and Northern Trust Global Investments Japan, K. K.

John previously was the head of the outsourced chief investment officer practice focused on retirement assets within Northern Trust's multi-manager solutions group. He led a team that oversaw multi-asset programs with more than \$60 billion in assets for asset owners globally. John's previous experience also includes client relationship management with Northern Trust, and mutual fund and sub-advisory product development at T. Rowe Price.

Moderator:

- **Mr. Nithin Johnson, Executive Director of Business Development, Americas, Tikehau Capital**
Mr. Nithin Johnson serves as a Director of Arcbridge Capital, the investment advisor to the Johnson family office, where he is also a family member. Arcbridge principally invests in controlling positions in US private enterprises, often entrepreneur or family owned, across the areas of healthcare, real estate development, and specialty manufacturing. The family selectively invests in direct venture, low-correlation private markets funds, and owns over one million square feet of US residential and commercial real estate.

Nithin is also an Executive Director of Tikehau Capital, a \$25 billion AUM listed pan-European private markets manager headquartered in Paris, France with Americas headquarters in New York. The firm has one of the largest balance sheets in the industry which it uses to invest alongside its strategies in strong alignment with investors. A sizeable proportion of those investors represent families.

We work in tandem to engage and connect key decision-makers dispersed across the global asset-ownership sphere for peer-to-peer events. The Series is a collection of exclusive events for investment industry executives looking to **improve their asset management strategies** and **advance their market knowledge** with the guidance of some of the most experienced minds.

The **objective** of the Series is to host chief investment officers, deputy chief investment officers and other C-Level investment executives from sovereign wealth funds, insurance companies, single-family offices, multi-family offices, endowments, foundations, private banks, national pensions, corporate pensions, institutional investment consultants, public pension and union pensions. **We aim to share best practices amongst asset management professionals, and to exchange ideas that will help guide the future development of the industry.**

Please stay tuned with us. More speakers and event details will be followed.

Don't miss out on this informative seminar! Click here to RSVP: <https://bit.ly/33VTFvB>

About The Hong Kong Society of Financial Analysts

The Hong Kong Society of Financial Analysts Limited (HK SFA) is a non-profit organization founded in 1992 by a group of CFA charterholders in Hong Kong. The Society has 6,572 members (as of 31 Dec 2018) and is one of the largest member societies of CFA Institute which has more than 154,000 members in 165+ countries/territories. The Society is mostly run by member volunteers with the support of a team of professional staff.

HK SFA shares the mission of CFA Institute in raising professional and ethical standard of financial analysts and investment practitioners through our continuing education events and advocacy effort. In addition to promoting the CFA designation in Hong Kong, the Society aims to provide a forum for our members, CFA Institute, other investment practitioners and regulators.

Recognized Institution

HK SFA is recognized by The Securities and Futures Commission as an institution for providing Continuous Professional Training. Continuing Education programs and seminars organized by HK SFA are qualified for CPT hours.